

**LAO CAI MINERAL MINING AND
PROCESSING JOINT STOCK
COMPANY**

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 01/BC-LCM

Lao Cai, 21 July 2026.

REPORT
Corporate Governance Report
(For the First Six Months of 2026)

To: - State Securities Commission of Vietnam;
- Stock Exchange.

- Company name: Lao Cai Mineral Mining and Processing Joint Stock Company
- Head office address: No. 28B Phan Dinh Giot Street, Lao Cai Ward, Lao Cai City, Lao Cai Province, Vietnam
- Tel: 0214.383.0036 Fax: 0214.383.0036
- Charter capital: VND 246,330,000,000
- Stock code: LCM
- Corporate governance model:
 - + General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director
- Implementation of the internal audit function:

I. Activities of the General Meeting of Shareholders

Information on meetings and the Resolutions/Decisions of the General Meeting of Shareholders (including resolutions adopted by way of written shareholders' resolutions):

No	Resolution/Decision No.	Date	Content
	01/NQ – ĐHĐCĐ	24/06/2026	2026 Annual General Meeting of Shareholders approved the following matters: (1) Report of the Board of Management; (2) Report of the Board of Directors; Independent Report of the Board of Directors; (3) Report of the Supervisory Board; (4) Audited Financial Statements for 2025; (5) Authorization for the Board of

			Directors to select the independent auditor for the 2026 Financial Statements; (6) 2025 business performance results and the 2026 business plan; (7) Authorization for the Board of Directors to decide on certain matters falling within the authority of the General Meeting of Shareholders; (8) Remuneration plan for the Board of Directors and the Supervisory Board for 2026; and (9) Election of members of the Board of Directors and the Supervisory Board for the 2026–2031 term.
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II. Board of Directors (Corporate Governance Report for the First Six Months of 2026):

1. Information on Members of the Board of Directors (BOD):

No	Member of BOD	Position (Independent/Non-Executive Director, where applicable)	Date	
			Date of Appointment	Date of Cessation
1	Mr. Vu Dinh Vinh	Chairman of the Board of Directors	25/06/2021	24/06/2026
2	Mr. Vu Viet Cuong	Chairman of the Board of Directors	24/06/2026	
2	Mr. Nguyen Duc Thang	Member of the Board of Directors	26/05/2016	
3	Mr. Ngo Truong An	Member of the Board of Directors	14/06/2014	
4	Mr. Hoang Quoc Tung	Independent / Non-Executive Member of the Board of Directors	24/06/2020	
5	Mr. Bui Thanh Binh	Independent / Non-Executive Member of the Board of Directors	24/06/2020	

2. Board of Directors Meetings:

No	Member of the Board of	Attendance at BOD	Attendance Rate	Reason for
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	Directors	Meetings		Absence
1	Mr. Vu Dinh Vinh	2/2	100%	
2	Mr. Vu Viet Cuong	1/1	100%	
2	Mr. Nguyen Duc Thang	3/3	100%	
3	Mr. Ngo Truong An	3/3	100%	
4	Mr. Hoang Quoc Tung	3/3	100%	
5	Mr. Bui Thanh Binh	3/3	100%	

3. Supervisory Activities of the Board of Directors over the Board of Management:

Closely supervised the implementation of solutions aimed at promoting business operations and ensuring the achievement of the objectives and targets approved by the Annual General Meeting of Shareholders.

Regularly directed and monitored the Board of Management in implementing business plans, promptly addressing operational challenges and obstacles, and ensuring the fulfillment of the Company's established objectives.

Monitored and reviewed the Company's compliance with the Law on Enterprises, the Company's Charter, resolutions of the General Meeting of Shareholders and the Board of Directors, as well as other applicable laws and regulations.

4. Activities of the Committees under the Board of Directors (if any): The Company has not established any committees under the Board of Directors.

5. Resolutions/Decisions of the Board of Directors (First Six Months of 2026):

No	Resolution/Decision No.	Date	Content	Approval Rate
1	01/2026/NQ-HĐQT	18/03/2026	Extension of the deadline for holding the 2026 Annual General Meeting of Shareholders.	100%
2	02/2026/NQ-HĐQT	23/03/2026	Organization of the 2026 Annual General Meeting of Shareholders.	100%
3	03/2026/NQ-HĐQT	25/06/2026	Election of the Chairman of the Board of Directors for the 2026–2031 term.	100%

III. Supervisory Board (Corporate Governance Report for the First Six Months of 2026)

1. Information on Members of the Supervisory Board):

No	Member of the Supervisory Board	Position	Appointment	Professional Qualifications
1	Mr. Ngo Gia Huy	Member of the Supervisory Board / Head of the Supervisory Board	24/06/2026	Bachelor's Degree in Economics
2	Mr. Nhu Ngoc Quang	Member of the Supervisory Board	24/06/2026	Bachelor's Degree in Accounting
3	Mr. Nguyen Van Tuan	Member of the Supervisory Board	24/06/2026	Master's Degree in Economics

2. Meetings of the Supervisory Board

No	Member of the Supervisory Board / Audit Committee	Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
1	Mr. Ngo Gia Huy	1/1	100%	100%	
2	Mr. Nhu Ngoc Quang	1/1	100%	100%	
3	Mr. Nguyen Van Tuan	1/1	100%	100%	

3. Supervisory Activities of the Supervisory Board over the Board of Directors, the Board of Management, and Shareholders:

- Reviewed and evaluated the Company's quarterly and annual financial statements, and provided timely comments and recommendations on significant matters to enhance the effectiveness of the Company's corporate governance, management, and financial administration.
- Supervised the organization of the General Meeting of Shareholders to ensure compliance with applicable legal procedures and requirements, and monitored the implementation of the resolutions and minutes adopted by the General Meeting of Shareholders.
- Oversaw the protection of shareholders' rights and interests, including monitoring the Company's disclosure and provision of information, as well as the receipt and handling of shareholders' requests and inquiries in accordance with applicable laws and the

Company's Charter.

4. Coordination between the Supervisory Board, the Board of Directors, the Board of Management, and Other Key Management Personnel:

- The Board of Directors and the Board of Management have consistently provided the Supervisory Board with the necessary support and conditions to enable it to fully perform its functions, duties, and powers in accordance with applicable laws and the Company's Charter.
- The Supervisory Board was invited to attend all regular and extraordinary meetings of the Board of Directors and was duly informed of meetings of the Board of Management whenever matters related to the Company's governance and management were discussed.
- Upon the Supervisory Board's request for information, documents, or participation in and convening of meetings relating to the Company's operations, the Board of Directors and the Board of Management fully cooperated by providing complete and timely information and documentation in accordance with applicable regulations.

5. Other Activities of the Supervisory Board

IV. Executive Management

No	Member of the Executive Management	Date of Birth	Professional Qualifications	Date of Appointment / Dismissal
1	Mr. Nguyen Duc Thang	30/03/1979	Bachelor's Degree in Electronics and Telecommunications Engineering	22/06/2016
2	Mr. Ngo Truong An	07/02/1985	Bachelor's Degree	05/05/2020

V. Chief Accountant

Full name	Date of Birth	Professional Qualifications	Date of Appointment / Dismissal
Ms. Nguyen Thi Hoa	30/09/1960	Bachelor's Degree	16/09/2011

VI. Corporate Governance Training:

The members of the Board of Directors, the Supervisory Board, the General Director, other key management personnel, and the Company Secretary did not participate in any corporate governance training courses during the reporting period as required under the corporate governance regulations.

VII. List of Related Persons of the Public Company (First Six Months of 2026) and Transactions between Related Persons and the Company

1. List of Related Persons of the Company

No.	Name of Organization /Individual	Securities Trading Account (if any)	Position in the Company (if any)	ID/Passport No., Date of Issue, Place of Issue	Registered Address / Contact Address	Date Becoming a Related Person	Date Ceasing to be a Related Person	Reason	Relationship with the Company
1	Mr. Vu Dinh Vinh		Chairman of the Board of Directors			25/06/2021	24/06/2026	End of term	Chairman of the Board of Directors
2	Mr. Vu Viet Cuong		Chairman of the Board of Directors			24/06/2026		Elected for the new term	Chairman of the Board of Directors
2	Mr. Nguyen Duc Thang		Member of the Board of Directors cum General Director			26/05/2016		—	Member of the Board of Directors and General Director
3	Mr. Ngo Truong An		Member of the Board of Directors cum Deputy General Director and Person Authorized to Disclose Information			14/06/2014		—	Member of the Board of Directors, Deputy General Director and Information Disclosure Officer
4	Mr. Bui Thanh Binh		Member of the Board of Directors			24/06/2020		—	Member of the Board of Directors
5	Mr. Hoang Quoc Tung		Member of the Board of Directors			24/06/2020		—	Member of the Board of Directors
6	Mr. Nhu Ngoc Quang		Head of the Supervisory Board / Member of the			24/06/2026		—	Member of the Supervisory Board

			Supervisory Board						
7	Mr. Ngo Gia Huy		Member of the Supervisory Board / Head of the Supervisory Board			24/06/2026		—	Head of the Supervisory Board
8	Mr. Nguyen Van Tuan		Member of the Supervisory Board			12/07/2022		—	Member of the Supervisory Board
9	Ms. Nguyen Thi Hoa		Chief Accountant			16/09/2011		—	Chief Accountant
10	Mr. Le Tuan Viet		Head of Internal Audit			29/03/2021		Appointed	Head of Internal Audit
11	Ms. Nghiem Thi Nhanh		Person in Charge of Corporate Governance			09/03/2022		—	Person in Charge of Corporate Governance

2. Transactions between the Company and its Related Persons; or between the Company and Major Shareholders, Insiders, or Related Persons of Insiders: None

No.	Name of Organization/Individual	Relationship with the Company	ID/Passport No., Date of Issue, Place of Issue	Registered Address / Contact Address	Transaction Date	Resolution/Decision of the GMS/BOD (if any, specify the date)	Description, Quantity and Total Transaction Value	Remarks

3. Transactions between the Company's Insiders, Related Persons of Insiders, and Subsidiaries or Companies Controlled by the Company: None

No.	Person Conducting the Transaction	Relationship with the Insider	Position in the Listed Company	ID/Passport No., Date of Issue, Place of Issue	Addresses	Name of Subsidiary / Company Controlled by the Listed Company	Transaction Date	Description, Quantity and Total Transaction Value	Remarks

4. Transactions between the Company and Other Parties

4.1. Transactions between the Company and any company in which a member of the Board of Directors, the Supervisory Board, the General Director (Chief Executive Officer), or other key management personnel has served as a founding shareholder, member of the Board of Directors,

or General Director (Chief Executive Officer) during the past three (03) years (as of the reporting date): **None**.

4.2. Transactions between the Company and any company in which a related person of a member of the Board of Directors, the Supervisory Board, the General Director (Chief Executive Officer), or other key management personnel serves as a member of the Board of Directors or General Director (Chief Executive Officer): **None**.

4.3. Other transactions of the Company (if any) that may provide material or non-material benefits to members of the Board of Directors, the Supervisory Board, the General Director (Chief Executive Officer), or other key management personnel: **None**

VIII. Giao dịch cổ phiếu của người nội bộ và người liên quan của người nội bộ (Báo cáo 6 tháng năm 2026)

1. Danh sách người nội bộ và người có liên quan của người nội bộ công ty

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Mr. Vu Dinh Vinh		Chairman of the Board					
1.1	Vu Huy Thong							Father
1.2	Nong Thi Thu							Mother
1.3	Nguyen Thu Giang							Wife
1.4	Nguyen Van Son							Father-in-law
1.5	Hoang Thi Viet Ha							Father-in-law
1.6	Vu Ha							Sister

	Trang							
1.7	Nguyen Ngoc Lam							Brother- in-law
1.8	Vu Lam Tung							Son
1.9	Vu Ha Chau							Son
2	Mr. Nguyen Duc Thang		Board Member and General Director					
2.1	Nguyen Dac Bo							Father
2.2	Duong Thi Hong							Mother
2.3	Dinh Thi Phuong Lien							Wife
2.4	Nguyen Thi Thu Ha							Sister
2.5	Nguyen Thi Bac							Sister

2.6	Nguyen Bao Hien							Daughte r
2.7	Nguyen Tung Luong							Son
3	Mr. Ngo Truong An		Board Member and Deputy General Director , Informa tion Security Officer					
3.1	Ngo Van Thu							Father
3.2	Cung Thi Thanh Binh							Mother
3.3	Ngo Quang Thao							Brother
3.4	Mai Thi Hien							Wife
4	Mr. Bui Thanh Binh		Board of member					
4.1	Bui Thanh Thinh							Father

4.2	Dinh Thi Mo							Mother
4.3	Bui Xuan Tinh							Brother
4.4	Bui Thi Xuan Yen							Sistr
5	Mr. Hoang Quoc Tung		Board of member					
5.1	Viet Thi Luu							Mother
5.2	Nguyen Quynh							Wife
6	Mr. Nhu Ngoc Quang		Head of Board of Supervis ors					
6.1	Nhu Cong Hien							Father
6.2	Quach Thi Thang							Mother
6.3	Nguyen Thi Thuong							Wife
6.4	Nhu Cao Vinh							Brother
7	Mr. Ngo Gia Huy		Member of Board of Supervis ors					
7.1	Ngo							Father

	Gia Loi							
7.2	Ha Thi Mai Luong							Mother
7.3	Ngo Gia Minh							Brother
8	Mr. Nguyen Van Tuan		Member of Board of Supervisors					
8.1	Nguyen Huu Trong							Father
8.2	Tran Thi Binh							Mother
8.3	Le Thi Huyen Thuong							Wife
8.4	Nguyen Thien Khoi							Son
8.5	Nguyen Yen Trang							Daughter
8.6	Nguyen Thi Phuong Thao							Sister
8.7	Dang Thanh Truyen							Brother in law
8.8	Le Van Phu							Father in law
8.9	Bang Thi							Mother in law

	Lien							
9	Ms. Nguyen Thi Hoa		Chief Accountant					
9.1	Nguyen Thi Hue							Mother
9.2	Tran Van Hoa							Husband
9.3	Nguyen Trong Hong							Brother
9.4	Nguyen Thi Nhan							Sister
9.5	Nguyen Minh Chuyen							Brother
9.6	Nguyen Trong Gia							Brother
9.7	Nguyen Trong Oai							Brother
9.8	Nguyen Thi Thanh Toan							Sister
9.9	Tran Thi Thanh Huyen							Daughter
9.10	Tran Xuan Huy							Son
10	Mr. Le Tuan		Head of Internal					

	Viet		Audit					
10.1	Le Trong Triet							Father
10.2	Duong Thi Hong Hai							Mother
10.3	Le Hoai Nam							Brother
11	Mrs. Nghiem Thi Nhanh		Corporate Governance Officer					
11.1	Nghiem Xuan Thuat							Father
11.2	Tran Thi Trinh							Mother
11.3	Nghiem Thi Huyen							Sister
11.4	Nghiem Thi Thao Nguyen							Sister
11.5	Nghiem Phuc Hung							Brother
11.6	Tran Trung Linh							Husband
11.7	Tran Khai Phong							Son
11.8	Tran Dang							Son

	Duong							
11.9	Tran Trung Bau							Father in law
11.10	Le Thi Hai							Mother in law

2. Share Transactions by Insiders and Their Related Persons: None

No.	Person Conducting the Transaction Person Conducting the Transaction	Relationship with the Insider Relationship with the Insider	Shares Held at the Beginning of the Reporting Period		Ownership Ratio (%)		Shares Held at the End of the Reporting Period
			Shares Held at the Beginning of the Reporting Period	Ownership Ratio (%)	Shares Held at the End of the Reporting Period	Ownership Ratio (%)	Reason for Increase/Decrease (Purchase, Sale, Conversion, Bonus Issue, etc.)

IX. Other Matters Requiring Attention

Recipients:

- As above
- Archived at the Company's Office

CHAIRMAN OF THE BOARD OF DIRECTORS

(Signed, full name and company seal)



VU VIET CUONG